

# TPI COMPOSITES, INC

# Reported by **GE VENTURES LTD**

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 07/25/16 for the Period Ending 07/21/16

Address 8501 N SCOTTSDALE ROAD

**GAINEY CENTER II, SUITE 100** 

SCOTTSDALE, AZ, 85253

Telephone 480-305-8910

CIK 0001455684

Symbol TPIC

SIC Code 3600 - Electronic and Other Electrical Equipment (No Computer Equipment)

Industry Industrial Conglomerates

Sector Industrials



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
GENERAL 1	ELECTE	RIC CO		T.	PΙ	COMP	OSITE	S, IN	<b>IC</b>	[ TPIC	]			Í			
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)						DirectorX10% Owner						
					<b>-</b> /24/2046						Officer (give title below) Other (specify below)						
3135 EASTON TURNPIKE,					7/21/2016 4. If Amendment, Date Original Filed (MM/DD/YYYY)												
	(Stre	eet)		4.	If A	mendme	nt, Date (	)rıgın:	al Fi	iled (MM/I	OD/YYYY)	6. I	ndıvıdual (	or Joint/G	roup Filing (	Check Appl	icable Line)
FAIRFIELD, CT 06828 (City) (State) (Zip)											X	Form filed by One Reporting Person  X Form filed by More than One Reporting Person					
			Table I -	Non-De	riva	ıtive Secı	ırities Ac	equire	ed, D	Disposed	of, or Ber	iefici	ially Own	ed			
1.Title of Security (Instr. 3)			Гrans. Date	Execution (Instr. 8) or Disposed of (D) Fol		ollowi	Amount of Securities Beneficially Owned llowing Reported Transaction(s) str. 3 and 4)				7. Nature of Indirect Beneficial Ownership (Instr. 4)						
	Tab	le II - Deri	ivative Se	curities	Ben	eficially	Owned (	e.g. ,	put	s, calls, w	varrants,	optio	ons, conve	rtible sec	urities)		
	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deeme Execution Date, if any	Code	Derivative		Securities A) or f (D)	]		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		ying	Derivative	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security			Code	v	(A)	(D)	Date Exercis		Expiration Date	Title		Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Series B Warrants	\$8748.81	7/21/2016		X			1.7145	<u>(2</u>	)	(2)	Series Converti Preferred S	ble	1.7145	\$0	0	I	Note (1)
Series B Convertible Preferred Stock	(3)	7/21/2016		Х		1.7145		<u>(3</u>	)	(3)	Common S	tock	2199	\$0	1716.2325	I	Note (1)
Series B Convertible Preferred Stock	(3)	7/21/2016		s (4)			1.0636	<u>(3</u>	)	(3)	Common S	tock	1364	\$0	1715.1869	I	Note (1)

#### **Explanation of Responses:**

- (1) Directly owned by GE Ventures Limited, which is a wholly-owned subsidiary of General Electric Company ("General Electric"). General Electric disclaims beneficial ownership of the securities of the Issuer held by GE Ventures Limited except to the extent of its pecuniary interest, and the filing of this Form shall not be deemed an admission that General Electric is the beneficial owner of any equity securities of the Issuer for purposes of Section 16 or any other purpose.
- (2) The Series B Warrants were deemed automatically exercised prior to the closing of the Issuer's initial underwritten public offering of Common Stock pursuant to a registration statement on Form S-1, which public offering has been declared effective by the SEC. These securities were exercisable for a period ending upon the earliest to occur of (i) seven years after the effective date, (ii) the date of the Issuer's initial public offering, or (iii) the date of a merger event, in each case, as defined therein.
- (3) The Series B Convertible Preferred Stock (the "Series B Shares") is convertible at any time into shares of the Issuer's Common Stock at the holder's election and automatically convert, upon the closing of the Issuer's initial public offering, into the number of shares of Common Stock shown in column 7 above. These securities do not have an expiration date.
- (4) The Reporting Person paid the exercise price on a cashless basis, resulting in the Issuer's withholding of 1.0636 of the Series B Shares to pay the exercise price and issuing to the Reporting Person the remaining 1,715.1869 Series B Shares.

#### Remarks:

Exhibit 99.1 - Joint Filer Information, incorporated herein by reference.

#### **Reporting Owners**

Deporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	rector 10% Owner Officer Oth	er				

GENERAL ELECTRIC CO			
3135 EASTON TURNPIKE	X		
FAIRFIELD, CT 06828			
GE Ventures Ltd			
3220 AVIATION HOUSE	X		
WESTPARK	A		
SHANNON, COUNTY CLARE, L2 00000			

#### **Signatures**

/s/ Barbara Lane, Attorney-in-fact/General Electric Company	7/25/2016
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Joint Filer Information

Name of Joint Filer: GE Ventures Limited

Address of Joint Filer: 3220 Aviation House, Westpark

Shannon, County Clare, Ireland

Relationship of Joint Filer to Issuer: 10% Owner

Issuer Name and Ticker or Trading Symbol: TPI Composites, Inc. (TPIC)

Date of Earliest Transaction Required

to be Reported (Month/Day/Year): 7/21/2016

Designated Filer: General Electric Company

Signature:

GE VENTURES LIMITED

By:/s/ Kelly Warrick

Name: Kelly Warrick Title: Attorney-in-fact

July 25, 2016

Date