

## TPI COMPOSITES, INC

# Reported by **HENRY JACK A**

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 07/24/18 for the Period Ending 07/22/18

Address 8501 N SCOTTSDALE ROAD

**GAINEY CENTER II, SUITE 100** 

SCOTTSDALE, AZ, 85253

Telephone 480-305-8910

CIK 0001455684

Symbol TPIC



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. ]	2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
HENRY JA	CK A				TI	PI C	COMI	POSITE	S, I	NC [	TPIC			(Check an app	nicable)			
(Last) (First) (Middle)				3. ]	3. Date of Earliest Transaction (MM/DD/YYYY)								X_Director	X _ Director			10% Owner	
									`			Officer (give title below)			ther (specify	below)		
8501 NORT	H SCOT	TSDALI	Ε					7/2	2/20	018								
ROAD,, GA 100	INEY CI	ENTER 1	II, SU	ITE														
	(Stre	eet)			4. ]	If Ar	nendm	ent, Date C	)rigii	nal Fil	ed (MM/	/DD/	YYYY)	6. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
SCOTTSDALE, AZ 85253 (City) (State) (Zip)														X Form filed by One Reporting Person Form filed by More than One Reporting Person				
			Table 1	I - Nor	-Der	ivat	ive Sec	curities Ac	quir	ed, Di	isposed	of,	or Be	eneficially Own	ed			
1. Title of Security (Instr. 3) 2. Trans. E			Date	2A. Deemed Execution Date, if any		3. Trans. Co (Instr. 8)	ode	4. Securities Acq or Disposed of (I (Instr. 3, 4 and 5)		Ď)	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Ownership of Indire Form: Benefici Direct (D) Ownersh	Beneficial Ownership			
								Code	V	Amou	nt (A) (D)		Price				or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock 7/22/20				18	8		M		6000.0	00 A	5	\$0.00	23540.00		D			
	Tab	le II - Deri	ivative	Securi	ties l	Bene	ficially	y Owned (	e.g.	, puts	, calls,	war	rants	, options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Dee Execution Date, if	on Co		Derivativ		ve Securities d (A) or d of (D)	6. Date Exercis Expiration Dat		ate		ecurities	Underlying Derivative Security Security		9. Number of derivative Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			(	Code	V	(A)	(D)	Date Exerc	cisable	Expiration Date	n Tit	itle	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Units	<u>(1)</u>	7/22/2018			M			6000.00		<u>(2)</u>	(1)(2)		Commo Stock	n 6000.00	\$0.00	6000.00	D	

#### **Explanation of Responses:**

- (1) One-third of the restricted stock units vest on each of the first, second and third anniversaries of the effective date of the initial public offering of the Issuer; provided, that the Reporting Person continues to provide service to the Issuer through each applicable vesting date. The restricted stock units do not have an expiration date.
- (2) Each restricted stock unit represents a contingent right to receive one share of common stock. All unvested restricted stock units will automatically expire upon the Reporting Person's termination of service from Issuer.

#### **Reporting Owners**

reporting owners										
Reporting Owner Name / Address	Relationships									
Reporting Owner Name / Address	Director	10% Owner	Officer	Other						
HENRY JACK A 8501 NORTH SCOTTSDALE ROAD, GAINEY CENTER II, SUITE 100 SCOTTSDALE, AZ 85253	X									

#### **Signatures**

/s/ Steven G. Fishbach, Attorney-in-Fact 7/24/2018

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.