

# TPI COMPOSITES, INC

### FORM D

(Small Company Offering and Sale of Securities Without Registration)

#### Filed 06/29/10

Address 8501 N SCOTTSDALE ROAD

**GAINEY CENTER II, SUITE 100** 

SCOTTSDALE, AZ, 85253

Telephone 480-305-8910

CIK 0001455684

Symbol TPIC

SIC Code 3510 - Engines And Turbines

Industry Renewable Energy Equipment & Services

Sector Energy

#### **UNITED STATES SECURITIES** AND EXCHANGE COMMISSION Washington, D.C.

**FORM D** 

**Notice of Exempt Offering of Securities** 

#### OMB APPROVAL

OMB Number: 3235-0076 Expires: June 30, 2012 Estimated Average burden hours per response: 4.0

### 1. Issuer's Identity

CIK (Filer ID Number)	Previous Name(s)	X	None	Entity	Type
0001455684				X	Corporation
Name of Issuer TPI COMPOSITES INC  Jurisdiction of					Limited Partnership Limited Liability Company General Partnership
Incorporation/Organization DELAWARE					Business Trust Other
Year of Incorporation/Organization					
Over Five Years Ago	*7				
<ul><li></li></ul>	Year)				

### 2. Principal Place of Business and Contact **Information**

Name of Issuer

TPI COMPOSITES INC

Street Address 1 Street Address 2

8501 N SCOTTSDALE ROAD **GAINEY CENTER II, SUITE 280** 

ZIP/Postal Code City State/Province/Country Phone No. of Issuer **SCOTTSDALE ARIZONA** 85253 480-305-8910

## 3. Related Persons

Last Name		First Name			Middle Name		
Lockard		Steven					
Street Address 1			Str	eet Address 2			
8501 N. Scottsdale Road			Ga	iney Center	II, Suite 280		
City		State/Provinc	e/Country		ZIP/Postal Code		
Scottsdale		ARIZONA			85253		
Relationship:	X	<b>Executive Officer</b>	X	Director	☐ Pr	moter	
Clarification of Res	ponse (	if Necessary)					
Last Name		First Name			Middle Name		
Monie		Wayne					
Street Address 1			Str	eet Address 2			
8501 N. Scottsdale	e Road	l	Ga	iney Center	II, Suite 280		
City		State/Provinc	e/Country		ZIP/Postal Code		
Scottsdale		ARIZONA			85253		
Relationship:	$\times$	<b>Executive Officer</b>	X	Director	☐ Pr	moter	
Clarification of Res	ponse (	if Necessary)					
		·					
Last Name		First Name			Middle Name		
Last Name Giovacchini		First Name Paul			Middle Name		
Giovacchini Street Address 1		Paul		eet Address 2			
Giovacchini	e Road	Paul					
Giovacchini Street Address 1 8501 N. Scottsdalo City	e Road	Paul  State/Province	Ga		II, Suite 280 ZIP/Postal Code		
Giovacchini Street Address 1 8501 N. Scottsdalo	e Road	Paul 1	Ga		II, Suite 280		
Giovacchini Street Address 1 8501 N. Scottsdalo City	e Road	Paul  State/Province	Ga		II, Suite 280 ZIP/Postal Code 85253	moter	
Giovacchini Street Address 1 8501 N. Scottsdalo City Scottsdale		Paul State/Provinc ARIZONA Executive Officer	Ga e/Country 	iney Center	II, Suite 280 ZIP/Postal Code 85253	moter	
Giovacchini Street Address 1 8501 N. Scottsdale City Scottsdale Relationship: Clarification of Res		Paul State/Provinc ARIZONA Executive Officer if Necessary)	Ga e/Country 	iney Center	II, Suite 280  ZIP/Postal Code 85253  X Pr	moter	
Giovacchini Street Address 1 8501 N. Scottsdald City Scottsdale Relationship:		Paul State/Provinc ARIZONA Executive Officer	Ga e/Country 	iney Center	II, Suite 280 ZIP/Postal Code 85253	moter	
Giovacchini Street Address 1 8501 N. Scottsdale City Scottsdale Relationship: Clarification of Responses Last Name Humber		Paul State/Provinc ARIZONA Executive Officer if Necessary) First Name	Ga e/Country	iney Center	II, Suite 280 ZIP/Postal Code 85253  X Pr	moter	
Giovacchini Street Address 1 8501 N. Scottsdale City Scottsdale Relationship: Clarification of Res	ponse (	Paul  State/Provinc ARIZONA  Executive Officer if Necessary)  First Name Scott	Ga e/Country	Director	II, Suite 280 ZIP/Postal Code 85253  X Pr	moter	
Giovacchini Street Address 1 8501 N. Scottsdale City Scottsdale Relationship: Clarification of Res Last Name Humber Street Address 1 8501 N. Scottsdale	ponse (	Paul  State/Provinc ARIZONA  Executive Officer if Necessary)  First Name Scott	Ga e/Country	Director	II, Suite 280 ZIP/Postal Code 85253  X Pr	moter	
Giovacchini Street Address 1 8501 N. Scottsdale City Scottsdale Relationship: Clarification of Responses Last Name Humber Street Address 1	ponse (	Paul  State/Provinc ARIZONA  Executive Officer if Necessary)  First Name Scott	Ga e/Country	Director	II, Suite 280 ZIP/Postal Code 85253  X Pr  Middle Name	moter	
Giovacchini Street Address 1 8501 N. Scottsdale City Scottsdale Relationship: Clarification of Responsible Research Name Humber Street Address 1 8501 N. Scottsdale City	ponse (	Paul  State/Province ARIZONA  Executive Officer if Necessary)  First Name Scott	Ga e/Country  Strc Ga e/Country	Director	II, Suite 280 ZIP/Postal Code 85253  X Pr  Middle Name  II, Suite 280 ZIP/Postal Code 85253	moter	

I4 N		Trime 4 No.		M2JJI - NI.
Last Name Deutch		First Name Philip		Middle Name
Street Address 1		rimip	Street Address	2
8501 N. Scottsdale Road				er II, Suite 280
			_	ZIP/Postal Code
City Scottsdale		ARIZONA	Country	85253
	□ Execut	tive Officer	□ Director	<u></u>
Relationship: Clarification of Res			<b>∠</b> Director	☐ Promoter
Carnication of Res	ponse (ii reces	,5 <b>41</b> y )		
Last Name		First Name		Middle Name
Henry		Jack		
Street Address 1			Street Address	2
8501 N. Scottsdal	e Road		Gainey Cente	er II, Suite 280
City		State/Provinc	e/Country	ZIP/Postal Code
Scottsdale		ARIZONA		85253
Relationship:	■ Execut	tive Officer	<b>X</b> Director	☐ Promoter
Clarification of Res	ponse (if Neces	ssary)		
Last Name		First Name		Middle Name
Wood, III		Pat		
Street Address 1			Street Address	2
8501 N. Scottsdale	e Road		Gainey Cente	er II, Suite 280
City		State/Provinc		ZIP/Postal Code
Scottsdale		ARIZONA		85253
Relationship:	■ Execut	tive Officer	X Director	Promoter
Clarification of Res	ponse (if Neces	ssary)		
Last Name		First Name		Middle Name
Last Name DeRosa		First Name Michael		Middle Name
			Street Address	
DeRosa	e Road			
DeRosa Street Address 1	e Road		Gainey Cente	2
DeRosa Street Address 1 8501 N. Scottsdale	e Road	Michael	Gainey Cente	2 er II, Suite 280
DeRosa Street Address 1 8501 N. Scottsdale City	_	Michael State/Province	Gainey Cente	2 er II, Suite 280 ZIP/Postal Code

Last Name		First Name			Middle I	Nan	ie
Weiss		Daniel					
Street Address 1			Sti	reet Address 2			
8501 N. Scottsdale	Road	d	Ga	ainey Center	II, Suite 2	80	
City		State/Province/Co	ountry	7	ZIP/Pos	tal (	Code
Scottsdale		ARIZONA			85253		
Relationship:		<b>Executive Officer</b>	X	Director	[		Promoter
Clarification of Resp	onse (	(if Necessary)					
Last Name		First Name			Middle I	Nan	ne
Beaver		Stephen					
Street Address 1			Stı	reet Address 2			
8501 N. Scottsdale	Road	d	Ga	ainey Center	II, Suite 2	80	
City		State/Province/Co	ountry	7	ZIP/Pos	tal (	Code
Scottsdale		ARIZONA			85253		
Relationship:	X	<b>Executive Officer</b>		Director	[		Promoter
Clarification of Resp	onse (	if Necessary)					
Last Name		First Name			Middle l	Nan	ne
Goldberry		John					
Street Address 1			Stı	reet Address 2			
8501 N. Scottsdale	Road	i	Ga	ainey Center	II, Suite 2	80	
City		State/Province/Co	ountry	7	ZIP/Pos	tal (	Code
Scottsdale		ARIZONA	·		85253		
Relationship:	X	<b>Executive Officer</b>		Director	I		Promoter
Clarification of Resp	onse (	(if Necessary)	_				

#### 4. Industry Group ☐ Agriculture ☐ Retailing **Health Care Banking & Financial Services** ■ Biotechnology ■ Restaurants ☐ Commercial Banking ☐ Health Insurance Technology ☐ Insurance ☐ Hospitals & Physicians ☐ Computers ☐ Investing □ Telecommunications ■ Pharmaceuticals ■ Investment Banking ☐ Other Health Care ☐ Other Technology **Pooled Investment Fund Travel** Other Banking & Financial ☐ Airlines & Airports **⋈** Manufacturing Services ■ Lodging & Conventions **Real Estate** ☐ Commercial **☐** Tourism & Travel Services ☐ Construction ☐ Other Travel ☐ REITS & Finance Other ☐ Residential ☐ Other Real Estate ■ Business Services Energy ☐ Coal Mining ■ Electric Utilities **■** Energy Conservation **■** Environmental Services ☐ Oil & Gas ☐ Other Energy 5. Issuer Size **Revenue Range** Aggregate Net Asset Value Range No Revenues No Aggregate Net Asset Value \$1 - \$1,000,000 \$1 - \$5,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 \$25,000,001 - \$100,000,000 \$50,000,001 - \$100,000,000 Over \$100,000,000 Over \$100,000,000 **Decline to Disclose Decline to Disclose**

Not Applicable

Not Applicable

<b>6.</b>	<b>Federal Exemption</b>	ı(s)	and	Exclu	sion(s) (	Claimed
(se	elect all that apply)					
	Rule 504(b)(1) (not (i), (ii) or (iii))		Rule 505	;		
	Rule 504 (b)(1)(i)	X	Rule 506	į		
	Rule 504 (b)(1)(ii)		Securitie	es Act Section	4(6)	
	Rule 504 (b)(1)(iii)		Investme	ent Company	Act Section 3(c)	
7.	Type of Filing					
X	New Notice Date of	First S	Sale 2010	-06-17	First S	ale Yet to Occur
	Amendment					
	Duration of Offerin	0	one year?		Yes	⊠ No
9.	Type(s) of Securitie	es (	Offer	ed (se	lect all t	hat apply)
	<b>Pooled Investment Fund Interests</b>		X	Equity		
	<b>Tenant-in-Common Securities</b>			Debt		
	<b>Mineral Property Securities</b>			Option, Wa Another Sec	rrant or Other Rig	ht to Acquire
	Security to be Acquired Upon Exercise of Warrant or Other Right to Acquire Secur	_	<sup>n</sup> , □	Other (desc	·	
10	. Business Combina	atio	n Tı	ansac	ction	
	is offering being made in connection with a saction, such as a merger, acquisition or exc			ation	Yes	× No
Clar	ification of Response (if Necessary)					
11	. Minimum Investn	ner	ıt			
Mini	mum investment accepted from any outside	e inves	tor		\$ 0 USD	

## 12. Sales Compensation

Recipient			Recipient CRD Number	L	_ None
(Associated) Broker or Dealer		None	(Associated) Broker or Dealer ( Number	CRD [	] None
Street Address 1			Street Address 2		
City		State/Provi	ince/Country Z	IP/Postal C	ode
State(s) of Solicitation	All States				

13. (	Offering and	d Sale	es Amo	ounts		
Total Offe	ering Amount		\$ 12000000	USD		Indefinite
Total Amo	ount Sold		\$ 8999999	USD		
Total Rem	naining to be Sold		\$ 3000001	USD		Indefinite
Clarificati	ion of Response (if Necess	ary)				
14. I	nvestors					
ac	elect if securities in the of ecredited investors, umber of such non-accre		_	_		
qı	egardless of whether secu ualify as accredited invest the offering:		-		-	-
Provide se	Sales Comm eparately the amounts of series not known, provide a	sales commi	issions and fin	ders' fees e	xpenses, if a	•
	Sales Commissions	\$ 0 US	D		Estimate	
	Finders' Fees	\$ 0 US	D		Estimate	
Clarificati	ion of Response (if Necess	sary)				
16. U	Jse of Proce	eeds				
of the pers		d as executi	ve officers, di	rectors or p	romoters in	ed to be used for payments to any response to Item 3 above. If the
	· •		\$ 0 USD		_	Estimate
Clarificati	on of Response (if Necess	ary)				

### Signature and Submission

Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice.

#### **Terms of Submission**

In submitting this notice, each Issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, the information furnished to offerees.
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the Issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against it in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that the Issuer is not disqualified from relying on any Regulation D exemption it has identified in Item 6 above for one of the reasons stated in Rule 505(b)(2)(iii).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
TPI COMPOSITES INC	/s/Stephen Beaver	Stephen Beaver	General Counsel and Corporate Secretary	2010-06-28