

## TPI COMPOSITES, INC

# Reported by **HENRY JACK A**

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 07/25/17 for the Period Ending 07/22/17

Address 8501 N SCOTTSDALE ROAD

**GAINEY CENTER II, SUITE 100** 

SCOTTSDALE, AZ, 85253

Telephone 480-305-8910

CIK 0001455684

Symbol TPIC



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. I	2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
HENRY JAC	CK A			TI	PI C	COMP	OSITE	S, II	NC [	TPIC	1	(Check an ap)	piicable)				
					3. Date of Earliest Transaction (MM/DD/YYYY)							X _ Director	X Director 10% Owner				
(Last) (First) (Middle)				5.1	5. Date of Lattiest Hallsaction (www.bb/1111)							Officer (give title below) Other (specify below)					
8501 NORT	H SCOT	ΓSDALE	E				7/2	2/20	17								
ROAD,, GA	INEY CE	ENTER I	I, SUI	ГЕ													
100																	
	(Stre	et)		4. I	f Ar	nendme	ent, Date C	Origin	nal Fil	ed (MM/I	DD/YYYY	6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)	
SCOTTSDA	LE A7.9	85253										V Form filed	hy Ona Pano	rting Person			
SCOTTSDALE, AZ 85253 (City) (State) (Zip)													X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(C	ity) (Sta	(Zip	"														
		,	Table I -	Non-Der	ivat	ive Sec	urities Ac	quire	ed, Di	isposed	of, or Be	eneficially Own	ed				
1. Title of Security (Instr. 3)			Trans. Date	Date 2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		or Disposed of (D)		6. Amount of Securities Beneficially Owned Following Reported Transaction(s) Instr. 3 and 4)			6. Ownership Form:	7. Nature of Indirect Beneficial			
							Code	V	Amou	(A) o	r Price				Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Common Stock			7	//22/2017			M	1	6000		\$0.00		10400		D		
	Tab		vative Se	ecurities I	Bene			- 0 /	•	, ,		, options, conve	ertible sec	urities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deeme Execution Date, if any	ed 4. Trans. Code y (Instr. 8)	Derivativ Securities		e Exp s Acquired isposed of		ate Exercisable and ration Date		Securities	Underlying e Security	nderlying Derivative Security Security		Form of Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)			
Restricted Stock Units	<u>(1)</u>	7/22/2017		M			6000	(	<u>(2)</u>	(1) (2)	Commo Stock	n 6000	\$0.00	12000	D		

#### **Explanation of Responses:**

- (1) One-third of the restricted stock units vest on each of the first, second and third anniversaries of the effective date of the initial public offering of the Issuer; provided, that the Reporting Person continues to provide service to the Issuer through each applicable vesting date. The restricted stock units do not have an expiration date.
- (2) Each restricted stock unit represents a contingent right to receive one share of the common stock. All unvested restricted stock units will automatically expire upon Reporting Person's termination of service from Issuer.

#### **Reporting Owners**

_ 1 8							
Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
HENRY JACK A							
8501 NORTH SCOTTSDALE ROAD,	v						
GAINEY CENTER II, SUITE 100	Λ						
SCOTTSDALE, AZ 85253							

#### **Signatures**

/s/ Steven G. Fishbach, Attorney-in-Fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.