

## TPI COMPOSITES, INC

# Reported by **HUGHES JAMES ALTON**

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 05/22/20 for the Period Ending 05/20/20

Address 8501 N SCOTTSDALE ROAD

**GAINEY CENTER II, SUITE 100** 

SCOTTSDALE, AZ, 85253

Telephone 480-305-8910

CIK 0001455684

Symbol TPIC



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. I	2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
HUGHES JAMES ALTON					TPI COMPOSITES, INC [ TPIC ]						X Director	,	100	6 Owner	
(Last) (First) (Middle)			3. I	3. Date of Earliest Transaction (MM/DD/YYYY)						Officer (give title below) Other (specify below)					
8501 NORT				_			5/2	0/2020	)						
ROAD,, GA 100	INEY C	ENTER	II, SUIT	E											
(Street)			4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)					6. Individual or Joint/Group Filing (Check Applicable Line)						
SCOTTSDALE, AZ 85253 (City) (State) (Zip)										X Form filed by One Reporting Person Form filed by More than One Reporting Person					
			Table I - N	Non-Der	ivat			<u> </u>	Disposed	of, or Ben	eficially Own	ed			
1.Title of Security (Instr. 3)				te 2A. Deemed Execution Date, if any  2A. Deemed Execution Date, if any  3. Trans. Code (Instr. 8)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (Instr. 3, 4 and 5)  (A) or (Instr. 3 and 4)  Code V Amount (D) Price				Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)						
	Tal	ble II - Der	ivative Sec	curities	Ben	eficially (	Owned (	<i>e.g.</i> , pu	ts, calls, w	arrants, o	options, conve	tible secu	urities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)	Derivative		Securities A) or f (D)	6. Date E Expiration	xercisable and n Date	7. Title and Securities I Derivative (Instr. 3 and	Jnderlying Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	V	(A)	(D)	Date Exercisab	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Units	<u>(1)</u>	5/20/2020		A		5574.00		<u>(2)</u>	(1)(2)	Common Stock	5574.00	\$0.00	5574.00	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of Common Stock. All unvested RSUs will automatically expire upon the Reporting Person's termination of service from the Issuer. This award was granted pursuant to the Company's Amended and Restated Non-Employee Director's Compensation Policy.
- (2) All RSUs vest on the earlier of (i) the one-year anniversary of the Grant Date or (ii) the next Annual Meeting of Stockholders, subject to the Reporting Person's continued service as a director of the Board with the Company through such date.

#### Reporting Owners

reporting Owners						
Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director 10% Owner Officer O		Other			
HUGHES JAMES ALTON 8501 NORTH SCOTTSDALE ROAD, GAINEY CENTER II, SUITE 100 SCOTTSDALE, AZ 85253	X					

#### **Signatures**

/s/ Steven G. Fishbach, Attorney-in-Fact 5/22/2020

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.