

# TPI COMPOSITES, INC Reported by LOCKARD STEVEN C

### FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

## Filed 05/13/20 for the Period Ending 03/11/20

Address 8501 N SCOTTSDALE ROAD

**GAINEY CENTER II, SUITE 100** 

SCOTTSDALE, AZ, 85253

Telephone 480-305-8910

CIK 0001455684

Symbol TPIC

SIC Code 3510 - Engines And Turbines

Industry Renewable Energy Equipment & Services

Sector Energy



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Lockard Sto	even C			T	PΙ	COMP	OSITI	ES,	, INC	[ TPIC	]					
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)							X_ Director 10% Owner X_ Officer (give title below) Other (specify below)				
8501 NORTH SCOTTSDALE							3/	11,	/2020			Chief Executive Officer				
ROAD,, GA 100	AINEY C	ENTER	II, SUI	TE												
(Street)				4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)				
SCOTTSDALE, AZ 85253 (City) (State) (Zip)					3/13/2020							X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
				- Non-De	riva	ative Secu	ırities A	cqı	uired, l	Disposed (	of, or Bei	neficially Own	ed			
1.Title of Security (Instr. 3)			2	. Trans. Date	Exe	Deemed ecution te, if any	3. Trans. (Instr. 8)		or D	isposed of (I r. 3, 4 and 5) (A) o	P) F (1	. Amount of Securit ollowing Reported 'instr. 3 and 4)			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Ta	ıble II - De	rivative S	Securities	s Bei	neficially	Owned	l (e.	. <i>g</i> ., put	s, calls, w	arrants,	options, conve	rtible secu	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	se	3A. Deeme Execution Date, if any	4. Trans. Code (Instr. 8)	5. Number Derivative Acquired (A Disposed o (Instr. 3, 4 a		Securities A) or f (D)		6. Date Exercisable and Expiration Date		7. Title and Securities Derivative (Instr. 3 an	Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	v	(A)	(D	Е	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Units	<u>(1)</u>	3/11/2020		A		51829.00	(2)		<u>(1)(3)</u>	(1)(3)	Common Stock	51829.00	\$0.00	51829.00	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of the common stock. All unvested RSUs will automatically expire upon Reporting Person's termination of service from Issuer.
- (2) Original Form 4 inadvertently overstated the number of RSUs by 23,261 shares, and the amendment is being filed to correct the error and reflect the total number of RSUs underlying the award.
- (3) 100% of the RSUs will vest on March 11, 2023 provided, that the Reporting Person continues to provide services to the Issuer through the vesting date.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Lockard Steven C 8501 NORTH SCOTTSDALE ROAD, GAINEY CENTER II, SUITE 100 SCOTTSDALE, AZ 85253	X		Chief Executive Officer					

#### **Signatures**

/s/ Steven G. Fishbach, Attorney-in-Fact 5/13/2020

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.